





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uscto.cov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT Shinji Itoh

ATTORNEY DOCKET NUMBER

10/005,713

11/07/2001

16869P-032900US

**CONFIRMATION NO. 7677** 

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR** 

SAN FRANCISCO, CA 94111-3834

MAR 1 4 2002

**FORMALITIES LETTER** 

\*OC000000007249812\*

Date Mailed: 01/02/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/19/2002 HMOHAWH1 00000079 201430 10005713

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130.00 CH

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box ->

Approved for use through 10/31/2002. OMB 0651-0031

XPE					cation Number	10/005,713		
RANSMITTAL				Filing		November 7, 2001		
FORM				First	Named Inventor	Itoh, Shinji		
(to be used for all co	rrespo	ndence after ir	nitial filing)	Group	Art Unit			
TRADEMARTS				Exami	ner Name			
Total Number of Page	s in Tl	nis Submission		Attorn	ey Docket Number	16869P-032900US		
			ENCLO	SURES	(check all that apply)			
Fee Transmittal Form			Assignt	ment Par		After Allowance Communication to Group		
Fee Attached	I		Drawing	g(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Res	spons	В	Licensi	ng-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final			Petition Routing Slip (PTO/SB/69) and Accompanying Petition			Proprietary Information		
Affidavits/dec	aratic	n(s)	Petition to Convert to a Provisional Application			Status Letter		
Extension of Time Request			Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):		
Express Abandon	ment	Request	Termin:	al Disclai	mer	Declaration/Power of Attorney		
			Request for Refund			2. Supplemental ADS		
☐ Information Disclosure Statement			CD, Number of CD(s)			3. Copy Notice		
						4. Return Postcard		
Certified Copy of Priority Document(s)			Remar	The Commissioner is authorized to charge any additional fees Deposit Account 20-1430.				
Response to Missing			Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated January 2, 2002, the enclosures listed on this sheet are to be made of record in the above-identified case.					
······································		SIGNA	TURE OF A	PPLIC	ANT, ATTORNEY, OF	RAGENT		
Firm	Tow	nsend and Tov						
and Mark D. Barrish					Reg. No	. 36,443		
Signature / LD LD LD LD								
Date March 4, 2002								
			CEF	RTIFICA	TE OF MAILING			
I hereby certify that the class mail in an envel	nis cor lope a	respondence is ddressed to: A	s being depos ssistant Com	sited with	n the United States Posta er for Patents, Washingto	al Service with sufficient postage as first on, D.C. 20231 on this date: March 4, 2002		
Typed or printed nam	ie	Margaret K. S	tephan	_				
				56	C1 1	Date March 4, 2002		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
PA 3205341 v1

PTO/SB/17 (09-00)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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5		A	Complete if Known				
OTP	F > FEE TRANS	SMITTAL	Application Number	10/005,713			
	for FY 2	2001	Filing Date	November 7, 2001			
0 1 1	· cs)		First Named Inventor	Itoh, Shinji			
Pate Pate	Patent fees are subject to	Patent fees are subject to annual revision.					
CAL & LH	AOEMA		Group Art Unit				
7	TOTAL AMOUNT OF PAYMENT	(\$) 170	Attorney Docket No.	16869P-032900US	,		

TOTAL ANIOU	NI OF FA	~ · · · · ·	141 (4)	170	Atton	ley Dock	61110.				
METHOD OF PAYMENT									FEE CALCULATION (continued)		
The Commissioner is hereby authorized to charge indicated foos and credit any over navments to					3. AD	DITIONAL	L FEES				
1. Deposit Account 20-1430					Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
Account 20-1430 Number				105	130	205	65	Surcharge - late filing fee or oath	130		
	L				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account Townsend and Townsend and Crew LLP						130	139	130	Non-English specification		
Name						2,520	147	2,520	For filing a request for reexamination		
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Applicant c	R 1.27		status.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2. 🗌 Paymen	t Enclosed	d:			115	110	215	55	Extension for reply within first month		
☐ Check	☐ Credit of	card	☐ Money Order	. Dother	116	400	216	200	Extension for reply within second month		
		E C 4 !	CULATION		117	920	217	460	Extension for reply within third month		
1. BASIC FIL		ECAL	CULATION		118	1,440	218	720	Extension for reply within fourth month		
Large Entity S	mall Enti	ity			128	1,960	228	980	Extension for reply within fifth month		
	ee Fee	: Fe	ee Description		119	320	219	160	Notice of Appeal		
	ode (\$)			Fee Paid	120	320	220	160	Filing a brief in support of an appeal		
	01 370		tility filing fee		121	280	221	140	Request for oral hearing		
	06 165 07 255		esign filing fee ant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding		
108 740 20	08 370	Re	eissue filing fee		140	110	240	55	Petition to revive – unavoidable		
114 160 2	14 80	Pr	ovisional filing	fee	141	1,280	241	640	Petition to revive – unintentional		
					142	1,280	242	640	Utility issue fee (or reissue)		
	SUBTO	OTAL (	.1)	_(\$)	143	460	243	230	Design issue fee	$\vdash$	
2. EXTRA CLAIM	FEES	_	****		144	620	244	310	Plant issue fee		
2. 2		Ex	tra Fee	from Fee	122	130	122	130	Petitions to the Commissioner		
Total Claims	20** =	= Cla	aims bel	ow Paid =	123	50	123	50	Petitions related to provisional applications		
Independent Claims	-3**	- [	×	=	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Dependent	_	<b>L</b>	×	=	581	40	581	40	Recording each patent assignment per property (times number of properties)	40	
•		Entity Fee	F Dt-ti		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code (\$)		(\$)	Fee Descripti		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
103 18 102 84		9 42	Claims in exce	ess of 20 laims in excess of 3						$\vdash$	
102 84		42 140	•	ndent claim, if not paid	179	740	279	370	Request for Continued Examination (RCE)		
109 84		42		ependent claims over	169	900	169	900	Request for expedited examination of a design application		
110 18 210 9 "* Reissue claims in excess of 20 and over original patent						Other fee (specify)					
SUBTOTAL (2) (\$)						The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
**or number previous	nh, maid if are	antor: E	or Poincues, co	a shows	*Redu	ced by Ba	asic Filin	g Fee Pa	aid SUBTOTAL (3) (\$)170		

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Mark D. Barrish	Registration No. (Attorney/Agent)	36,443	Telephone	650-326-2400			
Signature	Mag a	B-P		Date	March 4, 2002			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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